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# Terrestrial Laserscanning - Modeling of Correlations and Point Clouds for Deformation Analysis

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## Outline

- 1 Motivation
- 2 Modelling of correlations
- 3 Modelling of the areal trend component
- 4 Conclusion

## Motivation



Fig.1: Scanning HFT Stuttgart

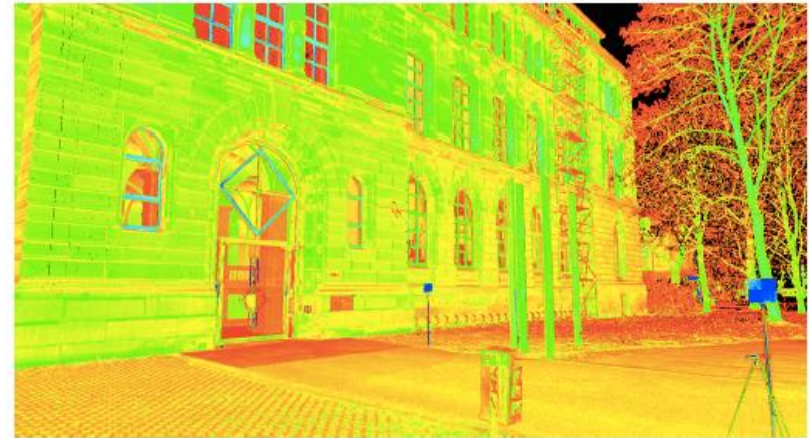


Fig.2: Point cloud of HFT scan

- **Goal:** detecting deformation on any point on an object surface
- **Observations:** horizontal rotation angle, vertical rotation angle, slope distance
- Substantial error sources cause **stochastical relations** within a point cloud



## Motivation (cont'd)

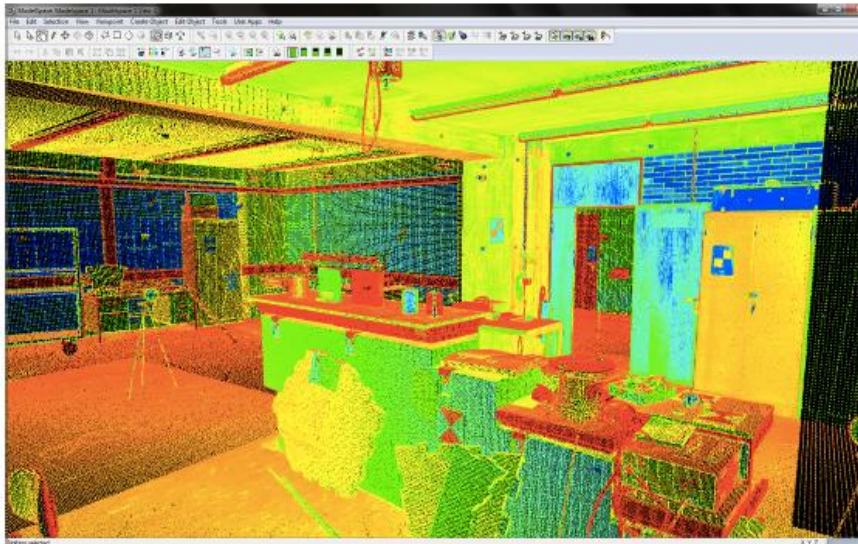


Fig.3: Point cloud of test room, US



Fig.4: Leica HDS 7000

- Detection by high-resolution data of terrestrial laser scanners
  - **Highly correlated** data
- Reproducing points from epoch to epoch
  - Development of **non-linear spatio-temporal** method for modelling a time-varying object surface

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## Synthetic covariance matrix

- **Multidimensional** measured values and random deviations
  - **Classification** of elementary errors
  - Requirement of **influencing** and **covariance matrices**
    1. **Non-correlating** errors:  $D_k, \Sigma_{\delta\delta,k}$
    2. **Functional correlating** errors:  $F, \Sigma_{\xi\xi}$
    3. **Stochastic correlating** errors:  $G_h, \Sigma_{\gamma\gamma,h}$

- **Synthetic covariance matrix**

$$\Sigma_{ll} = \overbrace{\sum_{k=1}^p D_k \cdot \Sigma_{\delta\delta,k} \cdot D_k^T}^{\text{non-correlating}} + \overbrace{F \cdot \Sigma_{\xi\xi} \cdot F^T}^{\text{functional correlating}} + \overbrace{\sum_{h=1}^q G_h \cdot \Sigma_{\gamma\gamma,h} \cdot G_h^T}^{\text{stochastic correlating}}$$



# Synthetic covariance matrix (cont'd)

■ Non-correlating

$$D_k = \begin{bmatrix} \frac{\partial l_1}{\partial \delta_{1k}} & 0 & \dots & 0 \\ 0 & \frac{\partial l_2}{\partial \delta_{2k}} & 0 & \vdots \\ \vdots & 0 & \ddots & \frac{\partial l_n}{\partial \delta_{nk}} \\ 0 & \dots & \dots & \frac{\partial l_n}{\partial \delta_{nk}} \end{bmatrix}$$

$$\Sigma_{\delta\delta,k} = \begin{bmatrix} \sigma_{1k}^2 & 0 & \dots & 0 \\ 0 & \sigma_{2k}^2 & 0 & \vdots \\ \vdots & 0 & \ddots & \vdots \\ 0 & \dots & \dots & \sigma_{nk}^2 \end{bmatrix}$$

■ Functional correlating

$$F = \begin{bmatrix} \frac{\partial l_1}{\partial \xi_1} & \frac{\partial l_1}{\partial \xi_2} & \dots & \frac{\partial l_1}{\partial \xi_m} \\ \frac{\partial l_2}{\partial \xi_1} & \frac{\partial l_2}{\partial \xi_2} & \dots & \frac{\partial l_2}{\partial \xi_m} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial l_n}{\partial \xi_1} & \frac{\partial l_n}{\partial \xi_2} & \dots & \frac{\partial l_n}{\partial \xi_m} \end{bmatrix}$$

$$\Sigma_{\xi\xi} = \begin{bmatrix} \sigma_1^2 & 0 & \dots & 0 \\ 0 & \sigma_2^2 & 0 & \vdots \\ \vdots & 0 & \ddots & \vdots \\ 0 & \dots & \dots & \sigma_n^2 \end{bmatrix}$$

■ Stochastic correlating

$$G_h = \begin{bmatrix} \frac{\partial l_1}{\partial \gamma_{1h}} & 0 & \dots & 0 \\ 0 & \frac{\partial l_2}{\partial \gamma_{2h}} & 0 & \vdots \\ \vdots & 0 & \ddots & \frac{\partial l_n}{\partial \gamma_{nh}} \\ 0 & \dots & \dots & \frac{\partial l_n}{\partial \gamma_{nh}} \end{bmatrix}$$

$$\Sigma_{\gamma\gamma,h} = \begin{bmatrix} \sigma_{1h}^2 & \sigma_{12h} & \dots & \sigma_{1nh} \\ \sigma_{12h} & \sigma_{2h}^2 & \dots & \sigma_{2nh} \\ \vdots & \vdots & \ddots & \vdots \\ \sigma_{1nh} & \sigma_{2nh} & \dots & \sigma_{nh}^2 \end{bmatrix}$$

Elementary errors	No correlations	No correlations	<b>Correlations</b>
Observations	No correlations	<b>Correlations</b>	<b>Correlations</b>

## Elementary errors of terrestrial laser scanners

- **Assumption:** the main error sources of a total station appear for a terrestrial laser scanner
- **Definition and classification** of the elementary errors

Correlation	Error	Correlation	Error
Non	Noise *		Angle of incidence Edges
Stochastic	Penetration depth	Functional	Range finder *
	Roughness		Zero point *
	Reflectivity		Collimation axis *
	Colour		Horizontal axis *
Temperature *	Vertical collimation *		
Pressure *	Tumbling error *		
Partial water vapour pressure *	Eccentricity of the collimation axis *		

\* Considered for simulation

Legend: Instrumental, atmospheric, object based



## Simulation and results

- Change of range

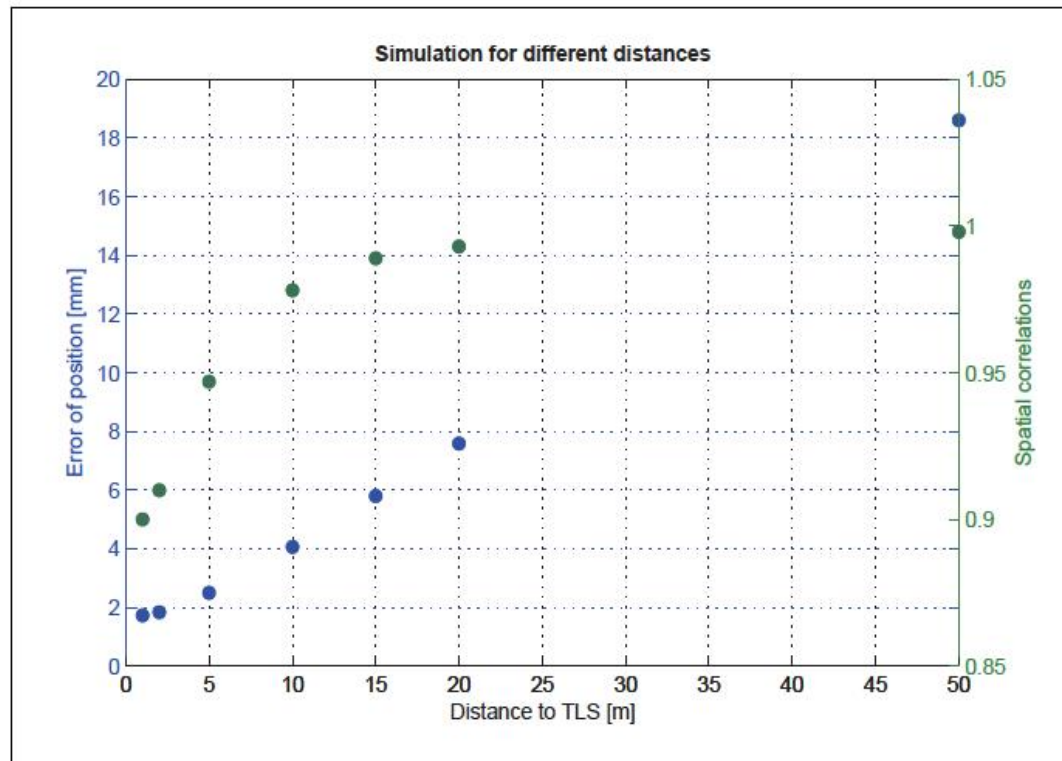


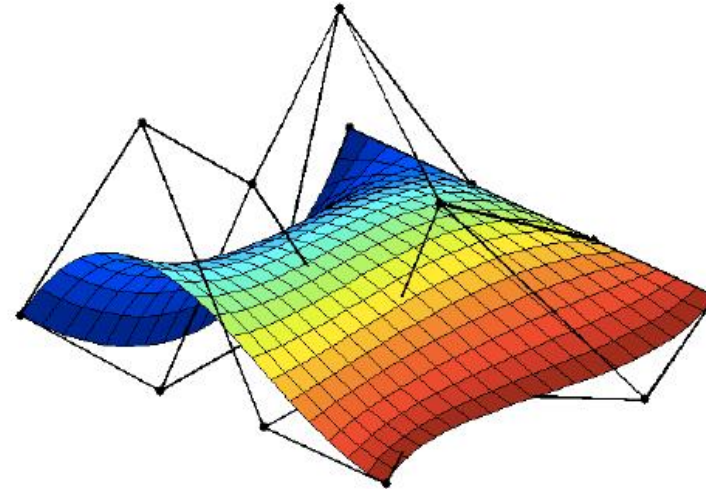
Fig.9: Effect of different distances

- Dependency between range and spatial correlations
- Rise of distance leads to increasing correlations and worsening of positional error

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# Modelling of point clouds using B-splines



## B-spline surface to model point clouds

$$\hat{\mathbf{S}}(u, v) = \mathbf{S}(u, v) + \mathbf{e} = \sum_{i=0}^n \sum_{j=0}^m N_{i,p}(u) N_{j,q}(v) \mathbf{P}_{ij}$$

$\mathbf{S}(u, v)$  : surface point with surface parameters  $u$  and  $v$

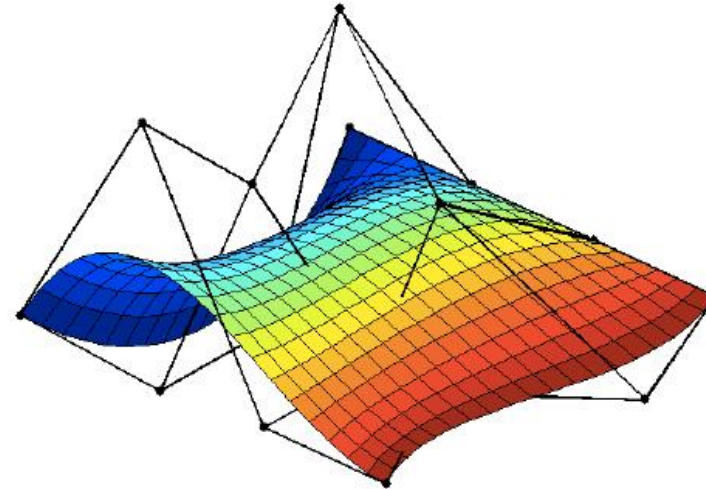
$\mathbf{e}$  : residuals

$\mathbf{P}_{ij}$  :  $(n + 1) \times (m + 1)$  control points

$N_{i,p}(u)$  :  $i$ -th B-spline basis function of degree  $p$

$N_{j,q}(v)$  :  $j$ -th B-spline basis function of degree  $q$

# Modelling of point clouds using B-splines



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$N_{j,q}(v)$  :  $j$ -th B-spline basis function of degree  $q$



## Model selection

### ■ **Basic principles** of model selection

- Choosing a model out of a set of candidate models
- Information criteria evaluate each model
- Based on Maximum Likelihood (ML) Theory

### ■ **Asymptotic efficient** criteria

- Phenomenon has infinite dimension
- Best approximation of the true phenomenon
- Minimizing a distance measure
- Example: AIC

### ■ **Asymptotic consistent** criteria

- Phenomenon has finite dimension
- True dependency is contained in the set of candidate models
- Identifying the true dependency
- Example: BIC

## Model selection by AIC and BIC

### ■ Akaike Information Criterion (AIC)

- Asymptotic efficient
- Optimal model minimizes the Kullback-Leibler-distance
- $AIC = -2 \log(\mathcal{L}(\hat{\theta}|y)) + 2K$

### ■ Bayesian Information Criterion (BIC)

- Asymptotic consistent
- Optimal model is a posteriori most likely
- $BIC = -2 \log(\mathcal{L}(\hat{\theta}|y)) + K \log(l)$

### ■ AIC and BIC

- Based on two completely different concepts
- Penalized log-likelihood criteria
- Find a balance between approximation quality and complexity
- BIC's penalty is stronger than AIC's for  $l \geq 8$

## Choosing the optimal number of control points

### ■ Procedure

- Simulated B-spline surface with  $n + 1 = 5$  and  $m + 1 = 7$  control points
- Superimposed by white noise with  $\sigma = 2\text{ mm}$
- Different sample sizes and different realizations
- Estimation of B-spline surfaces with varying number of control points
- Evaluation of each estimated curve with AIC and BIC
- Choosing the **surface with the best score** to be the **optimal** one

## Choosing the optimal number of control points (cont'd)

$l$	AIC	BIC
900	11,7	5,7
900	5,11	5,7
900	11,11	5,7
3600	5,10	5,7
3600	11,11	5,7
3600	8,11	7,7
4900	7,11	5,9
4900	11,11	5,10
4900	5,9	5,9

### Evaluation: AIC

- Distinct tendency to overestimate the number of control points
- Only in few cases at least one of the two parameters is determined correctly
- **Unsuitable** to determine the number of control points

### Evaluation: BIC

- Satisfying results in case of the smaller sample sizes
- Becomes unstable with growing sample size
- At least one of the parameters is identified correctly
- **Suitable** to determine the number of control points



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## Conclusion

- Conclusion:
  - Computation of synthetic covariance matrix
  - Mean positional error of 2.5 mm and spatial correlations of 0.95 at 5 m distance
  - Spatial correlations depend distance to each other
  
  - Modelling freeform surfaces by means of B-splines
  - Optimization of the number of control points with AIC and BIC
  - BIC is the more appropriate method regarding the simulated data
  
- Future work:
  - Integration of object based impacts
  - Evaluation by means of empirical values
  
  - Identification of additional criteria
  - Adoption of decorrelation techniques

# Thank you for your attention

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