## GOVERNANCE ISSUES IN INFORMAL SETTLEMENTS IN NAIROBI KENYA

# STRATEGIC INTEGRATION FOR SURVEYING SERVICES

FIG WORKING WEEK 2007 HONG KONG, SAR, CHINA

Wafula Nabutola, Republic of Kenya May 16 2007, 1600hrs, Kowloon I

#### Game Plan

- · Show some photos
- · Introduction
- · Shelter and Governance
- Governance
- · Political and Economic Principles
- · World Bank Balanced scorecard
- Good Governance 8 Characteristics
- Conclusion
- · Suggested Way Forward

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### Introduction

- Financial Yr 2005/06 Kenya's GDP grew 5.8%
  - Expected to increase to 7.5%, then 10% and steady there till 2030
  - Leadership has been quick to take credit, it should!
  - I would say it is Resilience of Kenyans themselves
    Scratching, searching, eking for survival
- Challenges
  - Inadequate infrastructure, or in poor shape
  - 70% degenerated beyond economical repair
  - Formal sector, has formal plans and donor support, constitutes 40% of population

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#### Shelter and Governance

- · Other aspect of economy is Informal sector
  - Affects majority Kenyans, 60% of population
  - Is not catered for in the planning process
  - Who is responsible for the decision making that perpetuates Informality, existence of informal settlements?
  - Governance
    - · What is governance?
    - UN ESCAP (UN Economic and Social Commission for Asia and Pacific)
      - Process of decision-making,
      - Process of implementing those decisions OR
      - Not implementing the decisions made

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#### Governance

- · Formal and informal actors
  - Media, lobbyists, international donors
  - Formal and informal structures
  - According to UN ESCAP all actors other than Government and military are "Civil Society"
  - Informal Actors
  - Organized crime syndicates
    - · Influence decision making in urban areas
    - · Mungiki that controls many aspects of economy
  - Kitchen Cabinets are informal structures
    - · Such informality is a result of corrupt practices
    - · Or Can lead to corrupt practices

#### Political Principles - Aussie

- Good governance is based on establishment of representative and accountable forms of government
  - Good institutions
    - · Strong pluralistic civil society,
    - · impartial and effective legal system
    - · Accountable corporate and public processes

### Economic Principles - Aussie

- · Broad based economic growth
- Dynamic private sector
- Policies that lead to reduction in poverty
- Efficient open market-based economy
- · Investment in people as a priority
- · Careful management of national economy
- Effective institutions
- · good corporate governance

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World Bank's view on Development Assistance

- Countries with similar natural resources and Social structures
  - Different performance
  - Attributable to standards of Governance
    - · Poor governance stifles, impedes development
    - Where there is corruption, poor control of public funds, lack of accountability, abuse of human rights, excessive military influence
  - Development aid goes to administration

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### Market based economy

- · Competitiveness in marketplace leads to innovation
- · An attractive Return on investment
- · Risk-sharing relationships
  - between public and private sectors,
  - based on shared aspirations
  - Bring about desired public policy outcomes
- · PPP Debates
- · Social policy what ought to happen
- · 2007 is election year, so many promises

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### **Good Governance**



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### Participation

- Needs to be informed and organized
- By both men and women
- Both central and Local Government have decentralized to Grass roots
  - Constituency Development Fund -
  - Local Authority Service Delivery Action Plan -
- Question is what quality and quantity of services is offered
- · Do services reach all who need them?

#### Rule of Law

- · Fair Legal Frameworks enforced impartially
- · Mungiki formed parallel government in slums
  - Tax collection, courts, punishment, supply of power, security, water, management of public transport, impose curfews
    - · Transparency and Openness
  - Decisions taken done in manner that follows regulations
  - Information is available freely, directly accessible
  - The people of Kibera do not know their rights
  - Publications like the Vendor are good but have been banned
  - Speculated leaders prefer to keep the led in dark deliberately

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### Responsiveness

- Institutions and processes serve stakeholders within reasonable time
  - Informal settlements are crowded
  - Have no infrastructure
  - Political leadership not sensitive to needs
- · Slum Tourism
  - Sore thumb for government

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#### Consensus Oriented

- · Many actors and as many view points
- Good governance requires mediation
- Slum dwellers have a sense of apathy
- · Equity and Inclusiveness
  - A feeling of being stakeholders
  - Being excluded except during elections

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### Effectiveness and efficiency

- · Three parameters
  - Produce results that meet society needs
  - Make best use of resources
  - Sustainable use of resources
  - Protection of environment
- Tests
  - Needs nor met
  - Resources not utilized optimally
  - Model not sustainable
  - Environment degenerating

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### Accountability

- · Taking responsibility
  - To public
  - To institutional stakeholders
  - The Triple Bottom line of
    - Profits
    - People
    - Planet

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#### Conclusion

- · Massive ignorance of their rights, duties and obligations
- · Indifference on the part of government
- · Despondency and helplessness on those governed
- The Needs gap is growing, epidemics likely
- · Inequitable distribution of national resources
- · Rule of law is missing: so Vigilante groups formed
- · No standards, where can the building code apply?
- · Responsiveness is slow if ever it shows up
- Given parameters specified Good Governance remains abstract to the challenging situation in Kenyan Slums

#### WAY FORWARD

- At policy level there ought to be specific legislation
  - Awareness campaign, public adult and civic education
  - Centres of excellence in education for slum children
  - Vocational and entrepreneurship centres developed
  - Land banks set aside for current/future housing
  - Planning Laws relating to slums ought to be revised
  - Practical and suitable Infrastructure needed, especially affordable, wholesome, potable water, sewerage, electricity
  - Subsidized or free housing, coupled with sweat equity
  - Micro-finance institutions
- · At professional and Business level
  - We Surveyors are part of problem, so must be part of solution
  - CSR required this is the market, we ought to give back
  - NGO's including FIG have a role to play

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# Ahsante sana

- Thank you and I invite questions
- · Wafula Nabutola,
- Chairman
- Nairobi Central Business Association
- 5<sup>th</sup> FI International House
- · Mama Ngina Street
- Nairobi
- wafula\_nabutola\_090382@yahoo.co.uk

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