A Tool for Measuring Sustainable Capacity Develoment with Agent-Based

Spatial Asset Mapping

Jaeik LIOU, Republic of Korea

Key words: Sustainable Capacity Development, Spatial Asset Mapping, Agent-Based Model

SUMMARY

In the midst of increasing international awarenesses of functions and roles of capacity

development (CD), there are growing concerns and questions about the mechanisms or

relationships between CD and SD as to how the concepts and objectives of CD could achieve

the goals of sustainable development (SD) within the context of sustainable capacity

development (SCD).

While a model and framework of CD in international organizations would provide a

comprehensive characteristics of core issues, functional capacities and point of entry (UNDP,

2006), there might be additional requirements for diverse perspectives of capacity's

spectrums and demands in different organizations and societies. To further enhance existing

methodology for measuring CD and improve capacity assessment framework, an agent-based

model is creatively designed to expound the clues of harmonizations between CD and SD,

and suggest an integrated solution for SCD.

In this study, a definition of sustainable capacity development is firstly articulated in

international societies and its conceptual framework is also originally designed to assist

concerned international organizations. Additionally, an agent-based spatial asset mapping is

suggested to assist integrated surveying services. This study also elucidates the differences

1/11

and similarties of capacity assessment between UN model and research results.

 $TS\ 8E-The\ Future\ of\ Surveyors$

Jaeik Liou (Republic of Korea):

A Tool for Measuring Sustainable Capacity Develoment with Agent-Based Spatial Asset Mapping

Jaeik LIOU, Republic of Korea

1. INTRODUCTION

An increase of human and organizational capacity or performance is recently growing issue

in accordance with harmonization of sustainable economic, environmental and social

development. It also aims to maximize develomental outputs and enhance organizational

ability and societal process's roles.

An appropriate method for measuring capacity building or capacity development (CD) has

national and global intentions and awarenesses. Although many international organizations

are making tremendous efforts on defining and measuring CD, their model of CD and

assessment framework might not reach to the goal and objectives of sustainable development

(SD). Exisiting international reports and documents have not yet suggested practical use of

CD's concept for human well-being and better quality of life in sustainable manners. This

paper elucidates how CD could be related with SD and what kinds of additional methods for

CD and SD shoud be required for sustainable capacity development (SCD). To further

ameliorate existing methodology for measuring CD and improve capacity assessment

framework, an agent-based model is creatively designed to explain the clues of

harmonizations between CD and SD, and suggest an integrated solution for SCD.

In addition, an agent-based organization and society is introduced to further support CD and

SD because it is oncerned with an agent model, organizational agent and agent society for

simulating interactions and communications among agents. A conceptual framework for SCD

and its definition are firstly articulated in international societies based on combinations

between an agent-based model for CD and assets (or capitals) approach to SD. This study

also scrutinizes disparities and similarities of CD between UN model and research results

with regards to capacity measures, CD methodology and indicators, and GIS application to

2/11

SD.

TS 8E – The Future of Surveyors

Jaeik Liou (Republic of Korea):

A Tool for Measuring Sustainable Capacity Development with Agent-Based Spatial Asset Mapping

2. RELATED WORKS AND CHALLENGES

Many international documents and reports have put emphasis on describing definition, assessment framework, and importances of CD (DFID, 2003; GTZ, 2003; UNDP-GEF, 2003a, 2003b; WBI, 2004; UNDP, 2006). Considering controvertial issues of capacity development (CD), CD is pertinent to ability, capability and competency to improve effective uses of existing resources, capitals and some valuable assets in sustainable way. CD is the process whereby individuals, groups, organizations and societies enhance their capacities in terms of human, organizational, institutional and social capital (Lavergne, 2004).

On the other hand, there might be lacks of understandings in expounding what CD implies in practical usages and applications for current requests of our well-being and how conceptual views of international CD could meet present needs of our sustainability. In this regard, there are critical argues and questions about the goals and initiatives of CD how it could play a significant role in improvements of SD.

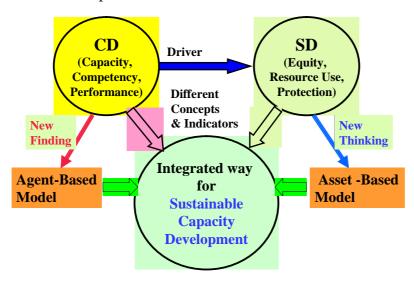


Fig. 1 New design for CD and SCD

Fig.1 shows a significant diagram for CD and SD, respectively requiring an agent-based model and asset-based model. An agent-based model approach to CD designed by (Liou, 2006) explains that an agent is concerned with different natures of people, things, associations, organizations and societies that have goal-oriented properties and certain capacities, competencies, and performances. An agent would often mobilize his assets, capitals and resources to increase his capacity. There are, however, very little researches on

definite liaisons and associations between CD and SD. In addition, the concept of CD is not compatible with that of SD and each indicator has different shapes and questionnaires. These questionable matters could often lead to a new thinking and new finding method toward an integrated way for SCD. But, there might be no yet acceptable models and frameworks to portray real applications of CD in the context of SCD.

3. ELUCIDATING MECHANISMS BETWEEN CAPACITY DEVELOPMENT AND SUSTAINABLE DEVELOPMENT

The goals and objectives of SD pertains to creating capacities for raising each person's well being, living standards, and quality of life. Several models and frameworks for SD are recently hinged on capitals (SIGMA, 2003) or resources that have adapted the traditional approach of Balanced Scorecard (Kaplan and Norton, 1996) in order to set up capacity or performance drivers and outcome measures. From the perspectives of asset or capital developments, CD approach to the triple bottom line of capitals could be well-linked. In other words, the stocks of assets or capitals possessed by individual and organizations are viewed as capacities that could be converted to goods and services which contribute to human well being.

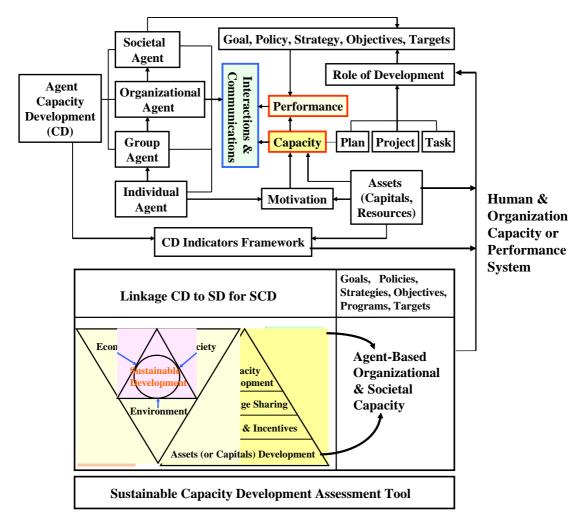


Fig. 2 Linkage's mechanism between CD and SD

On the other hand, CD is also linked to various policies, strategies, objectives and targets which seek to improve performance at different levels of organization and society. Thus, some aspects of CD could bring about diverse interpretations of capacity ranging from tangible things such as assets, materials, manpowers to intangible objects like skills, values, motivations, methods etc based on individual circumstances, organizational environments, and social policies and systems.

Therefore, a narrower definition of CD is based on assets or capitals that enable us to understand functions of assets (capitals, resources) and achieve significances of human capacity benefitting from uses and applications of several assets or capitals. Fig.2 illustrates that capital-based SD indicators distill into the frame of CD indicators. It starts with

inspections of indicator's compatibility that could assist with development planning of each agent. An agent understands that CD is considered as endogenous course of actions & long-term process of knowledge learning and sharing based on existing capacities and assets linking to agent's motivations and incentives.

As long as investments of tangible and intangible capitals are significantly considered as the primary engine of CD and SD, increasing and maximzing capacity and performance of agent-based organization and society may be now emerging national concerns and international focuses.

4. AGENT-BASED ORGANIZATION APPROACH TO CAPACITY DEVELOPMENT

Although there are no overall agreements on accepted and shared definition of agent, it would often be used for a computer science view of agency focusing on the characteristics of autonomous behavior. However, we use the notion of an agent for the representation of real human beings linking to their organizations and societies. Since the phenamenon of development processes and its outcomes are so complex, it is hard to analyze and estimate different types of agent's capacity and performance about efficiesncies of his task and organizational structure.

With regards to an agent-based organization or agent society (Dignum, 2004), an agent-based organization would have considered as sets of entities regulated by mechanisms of social orders associated with organizational structure, purpose, rules and norms. An agent society is used in a similar way in human society or real world. In the course of dynamic economic activities and transactional interactions among agent societies, there are often profitable games for inevitable developments by capitals and resources between or among economic, environment and social parts. Obviously, a wide variety of types of capitals could play a major role in developments of human, economic, socio-cultural, natural, digital, physical, institutional and political features.

An agent-based organization consisting of small groups or individual agents, organizational and social model makes it possible to monitor their process's interactions and simulate task's capacities. Individuals, groups, organizations and societies may be viewed as goal-oriented or motivational associations that pursue their purposes, interests and desires.

TS 8E – The Future of Surveyors

6/11

There are several types of agent architecure coming from logic-based, reactive, belief-desireintention (BDI), and layered agent (Wooldridge, 1997). The concept of agent architecutre could be applied to an agent-based organization such as an enterprise GIS organizations. But, organizational and societal capacity or performance are seriously impacted by internal and external interactions and relationships between and among agents. As shown in Fig. 2, CD could be implemented by coordination, collaboration, cooperation and resolution of conflicts in the process of interactions and communications of agents. More details of interactions to impact capacity or performace are problematic and are required for organizational and social agent model. But it might be beyond the scope of this study.

5. MEASURING SUSTAINABLE CAPACITY DEVELOPMENT USING AGENT-BASED SPATIAL ASSET MAPPING

When recognizing real world's conditions and circumstances as to how agents can use assets (capitals, resources), individual and organizational agents strive to increase existing capacities and attempt to achieve motivational goals and desires in the context of capacity or performance system. In the process of CD, organizational goals, policies and objectibves can be changed over time and the capacity for desired knowledge, skill and capital might be updated or transformed. As a type of BDI agents, spatial agent perceive capacity mechanism as the long or short term process of knowledge learning and adaptation to spatial envionment's change. Spatial agent has been used for describing diverse agent's behaviors and activities in space.

Spatial agent is able to interact with other agents such as humans, institutions and a part of societal actors that complete his missions with specific individual or organizational motivations and approved capacities. Spatial agent acts to realize a set goals and objectives with existing capacities.

Fig.3 illustrates the relationships between an agent model and spatial asset mapping. A similar or different type of spatial asset mapping has been carried out by surveying and mapping agencies. Human and socio-cultural assets are associated with tasks of national census and household survey. Physical and economic assets are respectively concerned with tasks of real estate and financial banking survey. Digital asset is about the degree of universial access to telecommunication, Internet and mapping of spatial database. Natural & ecological assets are related to amenities of living qualties and ecological protections.

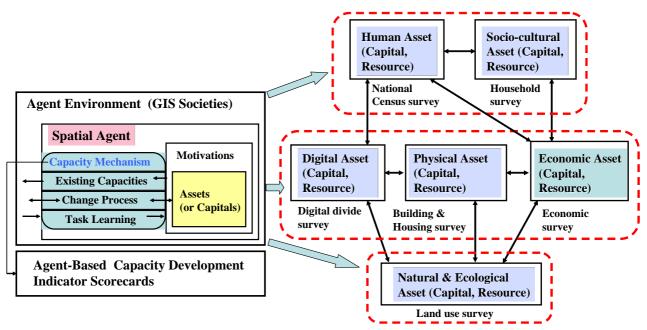


Fig. 3 Agent-based spatial asset mapping for integrated surveying services

Here, there are some questions about which agent-based organizations are responsible for maintenances and improvement of concerned surveying and mapping in connection with SD. This also shows a feasible type of integrated surveying services if a dominant organization could establish technical and institutional service networks. There are, however, no clear explications or evidences how spatial asset mapping portrays an agent-based CD in conjunction with SD in space. Meanwhile, spatial asset mapping provides an analytical tool of asset's capacity for an interpretation of individual, organizational and communal sustainability when classifying and analyzing the strength and weakness of social, economic and environmental capitals.

Drived from Fig. 2 and 3, we could suggest a conceptual framework for sustainable capacity development (SCD) based on connections agent-based model for CD with assets (or capitals) approach to SD (Liou, 2006) shown in Fig. 4. The concept of agent-based capacity is closely pertinent to ownerships and selections of asset (capital, resource) that palys a bigger role in CD as a major enabler for SD. An agent-based capacity with regional assets (or capitals) could lead to sustainable capacity which endogeneous agent knowledge is able to improve the capacity of SD.

In accordance with asset mapping, value mapping is expected to calculate values of

individual, organizational and societal wealths and belongings, and evaluate weaknesses and strengths of SD. Capacity mapping plays a significant role in some ideas on how policy-makers and planners could spell out their plan for sustainable community and regional development when comparing with current abilities and desired goals.

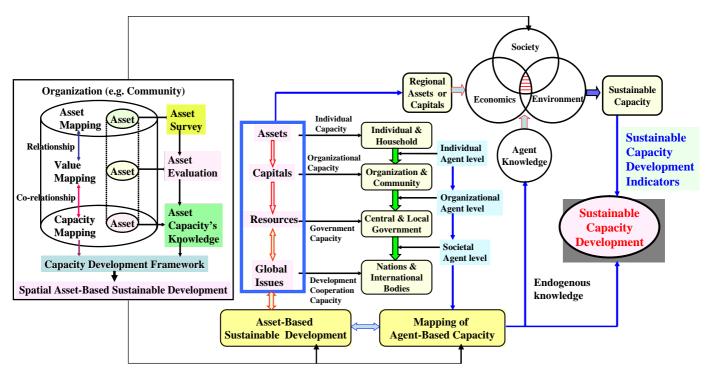


Fig. 4 Agent-based spatial asset mapping for SCD

Here, sustainable capacity is considered as the ability and compentency for an agent or agent-based organization to mobilize their assets, and use them for maintaing and strengthening equilibrium of sustainability. Thefore, SCD is defined as sustainable capacity for agent's ability (individuals, groups, organizations, systems) to perform their functions, ensure the better quality of life, and set and achieve objectives of CD through sustainable uses of assets, capitals, and resources.

6. CONCLUSION

The relationships between CD and SD are internationally emerging concerns, but very little researches have been focused. The purpose of this paper is to expound the way of linkage between CD and SD based on the center of an agent-based model. With the help of asset (or capital)-based SD, an agent-based model for CD is used to describe the origin of SCD and

explicate demands for an agent-based organization and society.

Since there are many various issues of capacity dimensions at 3 levels (individuals, organizations and systems), it might be hard to indicate a specific feature of point of entry (UNDP, 2006) when matching with core issues and crossing-cutting functional capacity. Thus, the concept of an agent-organization and society possessing assets (capitals and resource) is used for more clearly describing definitional capacity measures and CD methodology.

When comapring UN model with research model, Table 1 shows major disparities and similarities of CD and feasible supports for SD in conjunction with GIS. In terms of CD indicator scorecards, they use mostly qualitative methods. Thus, measuring SCD and visualizing CD in space are not yet considered.

	UN Model	Research Model
Definitional Capacity Measures	Ability of Individual, Organization & Society	Asset (Capital)-Based with Selection Theory
CD Methodology	Logical Model	Agent-Based Model
CD Indicators	SMART (Specific, Measurable, Attainable, Relevant, Timebound)	Capacity of Assets and Agent's Ability
Capacity Assessment	Points of Entry, Core Issues Functional Capacity	Asset, Value, Capacity (Mapping)
Applicability	Static & Limited	Dynamic & Practical
Support for SD	Unidentified	Full support
Connection with GIS	No Linkage	Spatial Agent
Support for SCD	No Linkage	4D Spatial Asset Mapping with ABM

Table. 1 Comparion between UN model and Research model

Meanwhile, research model is hinged on integration of an agent-based model and saptial asset mapping enabling to provide more tangible measures and solutions for SCD. This research model is also designed to support sustainable community development and community capacity building. Considering international awarenesses and urgent needs for integration of CD and SD, spatial asset mapping is particularly designed for measuring SCD connecting an agent-based model for CD with asset-based SD.

TS 8E – The Future of Surveyors /11

10

Jaeik Liou (Republic of Korea):

A Tool for Measuring Sustainable Capacity Development with Agent-Based Spatial Asset Mapping Strategic Integration of Surveying Services

FIG Working Week 2007

REFERENCES

- DFID (Department for International Development), 2003, Promoting institutional and organizational development: A source book of tools and techniques.
- Dignum, G., 2004, A model for organizational interaction: Based on agents, founded in logic. Ph.D Thesis, Utrecht University, Netherlands.
- GTZ, 2003, Capacity building needs assessments in the regions; Process guideline, selected tools and instruments (draft).
- Kaplan, R. and Norton, D., 1996, The Balanced Scorecard: Translating strategy into action. Harvard Business School Press.
- Lavergne R., 2004, Capacity development: Conceptual framework and key issues. Presentation to Tokyo International Symposium on Capacity Development, Feb 4, 2004.
- Liou, J.K., 2006, New designs for capacity development: Concepts, Methods and Contexts. 23rd FIG Congress, Munich, Germany, Oct 8-13, 2006.
- SIGMA, 2003. The SIGMA guidelines-Toolkit: Sustinablity Accounting Guide. http://www.projectsigma.com

UNDP, 2006, Capacity Diagnostics Methodology User's Guide.

UNDP-GEF, 2003a, National capacity self-assessment. Resource Kit (No. 3).

UNDP-GEF, 2003b, Capacity Development Indicators: Resource Kit (No. 4).

WBI (World Bank Institute), 2004, Capacity enhancement indicators.

Wooldridge, M., 1997, Agent-based software engineering. IEEE Proc. Software Engineering 144 (1), pp. 26-37.

CONTACTS

Visiting Researcher

Dr. Jaeik Liou

Dept. of Information and Industrial Engineering

Chungbuk National University

Seoul

KOREA

Tel. +82 2 988 5734

Email: Jaeikliou@empal.com

TS 8E – The Future of Surveyors /11

Jaeik Liou (Republic of Korea):

A Tool for Measuring Sustainable Capacity Development with Agent-Based Spatial Asset Mapping Strategic Integration of Surveying Services

FIG Working Week 2007

Hong Kong SAR, China, 13-17 May 2007